

The Rathbone Multi Asset Portfolio application form

Initial and additional non-ISA investments

Existing Rathbone Unit Trust Management Account Number (if applicable):

Applicant details

I/We confirm that I am/we are over 18 years of age. I/We confirm that I/we have read and understood the Key Features literature. Please state your name and address below. Shares will be registered in, and payments and correspondence will be sent to, this name and address, unless you specify otherwise. **Please complete this section in full using BLOCK CAPITALS.**

First Shareholder

Title (Mr/Mrs/Miss/Ms/Other)

Surname

Forename(s) in full

If a Trustee of a scheme, please tick Name of scheme/company

Designation

Address

Postcode Telephone

Residency (ie UK) Date of birth

NI or Tax ID No. Nationality

Signature Date

Second Shareholder/Joint Applicant (if applicable)

Title (Mr/Mrs/Miss/Ms/Other)

Surname

Forename(s) in full

Name of scheme/company

Designation

Address

Postcode Telephone

Residency (ie UK) Date of birth

NI or Tax ID No. Nationality

Signature Date

Beneficial owner – By using this application form to invest in our funds, you will own the beneficial title in all investments and we will be entitled to treat you as the beneficial owner in all our dealings with you. This is in accordance with the information in our Key Features. If this is not correct, please fill in the beneficial owner details in the boxes below and confirm your relationship to those persons or that person.

Tick if for the benefit of a child or other person

and insert forename(s) and surname relationship

If this application is completed without an adviser's declaration, you will have no rights to cancel the contract under the Financial Services (Cancellation) Rules. If this application is submitted through an Adviser or Agent, the details overleaf must be completed by the Agent. The Manager reserves the right to reject any application in whole or in part. Orders placed over the telephone are legally binding and enforceable contracts.

Data Protection – RUTM will use your information for the administration and servicing of your investments and all other related activities. We may disclose your information to our agents and service providers for these purposes including those having access to your personal data from countries outside the UK which do not provide the same level of data protection as in the UK. We will take appropriate steps to protect your data. We may share your information with organisations within Rathbone Brothers Plc. We, or they, may contact you about other services. However, you should note that where you have applied for a RUTM fund through an authorised intermediary, we may disclose information concerning your investments in these products to that authorised intermediary. If you do not want to be contacted by RUTM or other Rathbone companies, please tick the following box:

Money Laundering – To comply with Money Laundering regulations, we may require you to supply evidence of identity and address and/or may check these details against any database (public or other) to which we have access. We will obtain a record if such an enquiry is made. If you do not want your name and address details checked against databases, please tick the following opt-out box:

Investment details

Amount invested

Lump sum Savings plan per month*

Rathbone Total Return Portfolio £ £

Rathbone Strategic Growth Portfolio £ £

I/We wish to invest: £ as a lump sum; and/or £ per month

Notes

Please indicate your choice of fund(s), and amount to be invested.

Minimum investment is £1,000 per fund for initial lump sums, £500 per fund for subsequent investments and £100 per month per fund for monthly savers.

*For regular savers please complete the Direct Debit instructions (**first payment to be made by cheque to the address shown below**). Regular subscriptions will be collected until you notify us otherwise.

If you require your income paid direct to your bank account, please complete the dividend mandate overleaf.

I/We enclose a cheque made payable to Rathbone Unit Trust Management Limited, or make a bank transfer (please tick if appropriate) for £

Our bank details are: **HSBC Bank plc, City of London and Commercial Office, 2nd Floor, 62/76 Park Street, London SE1 9DZ**
Sort code 40-02-50 Account no. 91270362 Account Name: Rathbone Unit Trust Management Limited

Income payment details

Please complete this section **only** if you want income payments arising from your investments to be paid into your bank or building society account.

| | | | |
|----------------------------|----------------------|------------------------------------|---|
| Bank/Building Society Name | <input type="text"/> | Bank or Building Society Sort Code | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> |
| Address | <input type="text"/> | Account Holder's Name(s) | <input type="text"/> |
| | <input type="text"/> | | <input type="text"/> |
| | <input type="text"/> | Account No. | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> |
| | Postcode | Building Society Roll No. | <input type="text"/> |

Authorisation under FSMA 2000 (To be completed by an authorised intermediary only)

My/our authorisation to give investment advice is through being authorised and regulated by the Financial Services Authority:

My/our FSA reference no.

Adviser's declaration (To be completed by an authorised intermediary only)

Section 1 or 2 must be completed and signed

1. I/we confirm that the applicant named in this application is entitled to cancellation rights under the Financial Services (Conduct of Business) Rules.

(Tick only if cancellation rights apply).

I/we hereby indemnify Rathbone Unit Trust Management Limited for any losses suffered should it subsequently be discovered that the applicant was entitled to cancellation rights and no cancellation notice was sent as a result of the above.

Signed

Name

2. I/we confirm that the applicant named in this application is not entitled to cancellation rights under the Financial Services (Conduct of Business) Rules because (tick whichever explains why cancellation rights do not apply).

The applicant responded to a direct offer advertisement as defined by the Financial Services (Conduct of Business) Rules

The applicant is an execution only customer.

The applicant is subject to a customer agreement waiving such rights.

The application form was completed outside the United Kingdom as the result of advice given by me/an advertisement issued outside the United Kingdom.

Date

Position

When completed, this form should be returned to your authorised intermediary or direct to our dealing office (Rathbone Unit Trust Management Limited, PO Box 9948, Chelmsford CM99 2AG). For further information please see the Key Features

Adviser/Agent Stamp (To qualify for commissions, please enter either company stamp or agreed terms or if part of a Network)

Any Enquiries

Please write to our dealing office or telephone us. For details please refer to the Key Features.

Stamp:

Adviser only:

If you wish to reinvest initial commission, please tick here:

If so, how much?

 %

Rathbone Unit Trust Management Limited

159 New Bond Street, London, W1S 2UD

Information Line: 020 7399 0399

Telephone: 020 7399 0000

Facsimile: 020 7399 0057

Email: rutm@rathbones.com

Website: www.rutm.com

Copies of the Prospectus, the latest Manager's report and the Instrument of Incorporation in respect of each sub-fund are available on request, free of charge, from the Manager.

Authorised and regulated by the Financial Services Authority

A member of the Investment Management Association

A member of the Rathbone Group

Registered No. 2376568